

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. TTL-04US		SERIAL NO. 10/816,725	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				APPLICANT Lawrence M. Lubbers et al.			
(37 CFR 1.98(b))				FILING DATE April 2, 2004		GROUP 3791 3773	

U.S. PATENT DOCUMENTS									
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
<i>JMM</i>	A.A R e 3 6 2 8 9	8/1999	Le et al.	606	232				
	A.B 2 0 7 5 5 0 8	3/1937	Davidson						
	A.C 2 4 2 1 1 9 3	5/1947	Gardner	128	335				
	A.D 2 4 7 2 0 0 9	5/1949	Gardner	128	335				
	A.E 2 4 8 9 8 7 0	11/1949	Dzus	606	73				
	A.F 2 7 6 0 4 8 8	8/1956	Pierce						
	A.G 3 1 2 3 0 7 7	3/1964	Alcamo	606	228				
	A.H 3 4 8 9 1 4 3	1/1970	Halloran						
	A.I 3 6 6 4 3 4 5	5/1972	Dabbs						
	A.J 3 7 1 6 0 5 8	2/1973	Tanner, Jr.	128	337				
<i>✓</i>	A.K 4 4 3 0 9 9 8	2/1984	Harvey et al.	128	335				

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)		
<i>JMM</i> A.L 3227984 A1	2/1984	DE					
A.M 19840881	3/2000	DE					
A.N 1810800	6/1970	DT					
A.O 0535802	4/1993	EP					
A.P 0092334	10/1983	EP					
<i>✓</i> A.Q 0478949 A1	4/1992	EP					

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
<i>JMM</i> A.R	L. Gordon et al., <u>Flexor Tendon Repair Using a Stainless Steel Internal Anchor</u> , Article, The Journal of Hand Surgery, Vol. 23B N. 1, February 1998	
<i>JMM</i> A.S	L. Gordon et al., <u>Flexor Tendon Repair Using a Stainless Steel External Splint</u> , Article, Journal of Hand Surgery, Vol. 24B, No. 6, December 1999	
<i>JMM</i> A.T	Dietmar H. Wittmann, <u>Prosthesis for Abdominal Surgery</u> , U.S. Patent Publication No. 2002/0029063A1, Published on March 7, 2002	

EXAMINER <i>Jubian M. Woo</i>	DATE CONSIDERED 12-10-07
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
J.M.W.	B.A	4 5 4 9 5 4 5	10/1985 Levy	606	223		
	B.B	4 5 8 5 4 5 8	4/1986 Kurland	623	13.17		
	B.C	4 5 9 0 9 2 8	5/1986 Hunt et al.				
	B.D	4 5 9 2 3 4 6	8/1986 Jurgutis	128	92		
	B.E	4 6 3 7 3 8 0	1/1987 Orejola	128	334		
	B.F	4 6 4 3 1 7 8	2/1987 Nastari et al.				
	B.G	4 7 2 3 5 4 8	2/1988 Lalonde	128	335		
	B.H	4 7 5 0 4 9 2	6/1988 Jacobs	606	230		
	B.I	4 7 9 6 6 1 2	1/1989 Reese				
	B.J	4 8 3 2 0 2 6	5/1989 Jones				
	B.K	4 8 7 0 9 5 7	10/1989 Goble et al.	128	92		
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
J.M.W.	B.L	2164562	3/1986 GB				
J.M.W.	B.M	WO 97-07744	3/1997 PCT				
J.M.W.	B.N	WO 00/49983	8/2000 PCT				
	B.O						
	B.P						
	B.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
J.M.W.	B.R	Daniel Jacobs, <u>Multi-Point Tension Distribution System Device and Method of Tissue Approximation Using that Device to Improve Wound Healing</u> , U.S. Patent Publication No. 2002/O173807A1, Published on 11/21/02					
	B.S						
	B.T						
EXAMINER <i>J. Michael W. Woo</i>				DATE CONSIDERED 12-10-07			
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(37 CFR 1.98(b)) (Use several sheets if necessary)							
				FILING DATE April 2, 2004		GROUP <del>3731</del> 3773	

U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	C.A	4	8	7	3	9	7	6	10/1989	Schreiber	128	334	
	C.B	4	9	0	1	7	2	1	2/1990	Hakki	606	103	
	C.C	4	9	4	6	4	6	2	8/1990	Watanabe			
	C.D	4	9	5	5	9	1	0	9/1990	Bolesky	623	13.13	
	C.E	4	9	7	8	3	4	7	12/1990	Hizarov			
	C.F	4	9	7	9	9	5	6	12/1990	Silvestrini	623	13	
	C.G	4	9	8	8	3	5	1	1/1991	Paulos et al.	606	72	
	C.H	5	0	0	6	0	2	3	4/1991	Kaplan	411	17	
	C.I	5	0	4	1	1	2	9	8/1991	Hayhurst et al.	606	232	
	C.J	5	0	5	3	0	4	7	10/1991	Yoon	606	223	
	C.K	5	0	6	1	2	8	3	10/1991	Silvestrini	623	13	

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	C.L						
	C.M						
	C.N						
	C.O						
	C.P						
	C.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT Lawrence M. Lubbers et al.			
(37 CFR 1.98(b)) (Use several sheets if necessary)				FILING DATE April 2, 2004		GROUP 371 3713	

U.S. PATENT DOCUMENTS														
EXAMINER INITIAL		PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
J.M.H.	D.A	5	0	8	4	0	5	0	1/1992	Draenert	606	77		
	D.B	5	2	5	8	0	1	5	11/1993	Li et al.				
	D.C	5	2	6	9	2	9	0	12/1993	Barrett et al.	128	4		
	D.D	5	2	6	9	8	0	9	12/1993	Hayhurst et al.				
	D.E	5	3	0	6	2	9	0	4/1994	Martins et al.				
	D.F	5	3	0	9	9	2	7	5/1994	Welch	128	898		
	D.G	5	3	4	2	3	7	6	8/1994	Ruff				
	D.H	5	3	5	4	3	0	5	10/1994	Lewis et al.	606	152		
	D.I	5	3	8	0	3	3	4	1/1995	Torrie et al.	606	104		
	D.J	5	3	8	3	8	9	7	1/1995	Wholey	606	213		
	D.K	5	3	9	5	3	7	4	3/1995	Miller et al.				

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
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	D.L						
	D.M						
	D.N						
	D.O						
	D.P						
	D.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
	D.R	
	D.S	
	D.T	

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
JMM	E.A	5 4 1 1 5 2 3	5/1995	Goble	606	232	
	E.B	5 4 1 7 6 9 9	5/1995	Klein et al.	606	139	
	E.C	5 4 2 5 7 4 7	6/1995	Brotz	606	228	
	E.D	5 4 2 6 8 4 3	6/1995	Bartky	29	516	
	E.E	5 4 3 3 6 0 7	7/1995	Schmid et al.	433	173	
	E.F	5 4 6 4 4 2 4	11/1995	O'Donnell, Jr.	606	228	
	E.G	5 4 7 2 4 5 2	12/1995	Trott	606	232	
	E.H	5 4 8 0 4 0 3	1/1996	Lee et al.	606	72	
	E.I	5 4 8 6 1 9 7	1/1996	Le et al.	606	232	
	E.J	5 5 0 0 0 0 0	3/1996	Feagin et al.			
	E.K	5 5 0 5 7 3 5	4/1996	Li	606	72	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
	E.L						
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	E.T						
EXAMINER <i>John M. Moo</i>				DATE CONSIDERED 12-10-07			
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(37 CFR 1.98(b))				FILING DATE April 2, 2004		GROUP 373 <b>3773</b>							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	F.A	5	5	0	7	7	7	5	4/1998	Ger et al.	606	216	
	F.B	5	5	2	0	6	9	1	5/1998	Branch			
	F.C	5	5	2	0	7	0	0	5/1998	Beyar et al.	606	139	
	F.D	5	5	2	7	3	4	2	6/1998	Pietrzak et al.	606	232	
	F.E	5	5	3	1	7	9	0	7/1998	Frechet et al.	623	15	
	F.F	5	5	3	1	2	3	2	7/1998	Hill	128	898	
	F.G	5	5	3	1	7	6	1	7/1998	Yoon	606	223	
	F.H	5	5	5	6	4	2	8	9/1998	Shah	623	13	
	F.I	5	5	6	2	6	8	9	10/1998	Green et al.	606	151	
	F.J	5	5	8	2	6	1	6	12/1998	Bolduc et al.	606	143	
	F.K	5	5	8	4	8	5	9	12/1998	Brotz	606	228	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)						
	F.L												
	F.M												
	F.N												
	F.O												
	F.P												
	F.Q												
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	F.S												
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(Use several sheets if necessary)				FILING DATE April 2, 2004		GROUP 3731 <b>3773</b>							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>J.M.W.</i>	H.A	5	7	2	3	0	0	8	3/1998	Gordon	623	13	
	H.B	5	7	2	3	0	0	9	3/1998	Frechet et al.	623	15	
	H.C	5	7	2	8	1	3	5	3/1988	Bregan et al.	606	228	
	H.D	5	7	2	8	1	3	6	3/1998	Thal	606	232	
	H.E	5	7	5	5	0	6	5	5/1998	Sorkin	52	223.13	
	H.F	5	7	7	2	6	6	8	6/1998	Summers et al.	623	1.11	
	H.G	5	7	8	2	8	4	4	7/1998	Yoon et al.	606	139	
	H.H	5	7	9	7	9	1	3	8/1998	Dambreville et al.	606	72	
	H.I	5	8	0	0	5	4	4	9/1998	Demopoulos et al.	623	13	
	H.J	5	8	1	0	8	5	1	9/1998	Yoon	606	148	
	H.K	5	8	2	4	0	0	8	10/1998	Bolduc et al.	606	43	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
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	H.L												
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(37 CFR 1.98(b))

(Use several sheets if necessary)

FILING DATE  
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373T 3773

## U.S. PATENT DOCUMENTS

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JMM	I.A	5	8	9	1	1	6	8	4/1999	Thal	606	232	
	I.B	5	8	9	7	5	9	1	4/1999	Kobayashi	623	13.11	
	I.C	5	9	1	6	2	2	4	8/1998	Esplin	606	151	
	I.D	5	9	5	1	5	9	0	9/1999	Goldfarb	606	232	
	I.E	5	9	5	4	7	4	7	9/1999	Clark	606	216	
	I.F	5	9	5	7	9	5	3	9/1999	DiPolo et al.	606	232	
	I.G	5	9	6	4	7	7	2	10/1999	Bolduc et al.	606	142	
	I.H	5	9	7	2	0	0	1	10/1999	Yoon	606	139	
	I.I	5	9	8	0	5	5	7	11/1999	Iserin et al.	606	220	
	I.J	6	0	7	4	4	0	9	6/2000	Goldfarb	606	232	
	I.K	6	0	8	3	2	4	4	7/2000	Lubbers et al.	606	232	

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	I.O						
	I.P						
	I.Q						

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	I.S	
	I.T	

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Julian M. Woo

DATE CONSIDERED

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J.A.		6	1	0	2	9	4	7	8/2000	Gordon	623	13.11	
J.B.		6	1	0	6	5	5	6	8/2000	Demopoulos et al.	623	13.16	
J.C.		6	1	2	9	7	6	2	10/2000	Li	623	13.11	
J.D.		6	1	3	2	4	4	2	10/2000	Ferragamo et al.	606	151	
J.E.		6	1	4	9	6	6	9	11/2000	Li	606	232	
J.F.		6	1	6	8	5	9	6	1/2001	Wellisz et al.	606	69	
J.G.		6	2	9	6	6	5	6	10/2001	Bolduc et al.	606	213	
J.H.		6	3	0	6	1	5	9	10/2001	Schwartz et al.	606	232	
J.I.		6	4	4	7	5	3	5	9/2002	Jacobs et al.	606	215	
J.J.		6	4	8	5	5	0	3	11/2002	Jacobs et al.	606	215	
J.K.													

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	J.L.						
	J.M.						
	J.N.						
	J.O.						
	J.P.						
	J.Q.						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	J.R.	
	J.S.	
	J.T.	

EXAMINER

*John W. Woo*

DATE CONSIDERED

*12-10-07*

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<b>10/12/2006</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10816725
	Filing Date		2004-04-02
	First Named Inventor	Lawrence M. Lubbers et al.	
	Art Unit	3731-3773	
	Examiner Name	J. W. Wood	
	Attorney Docket Number	TTL-04US	

## U.S. PATENTS

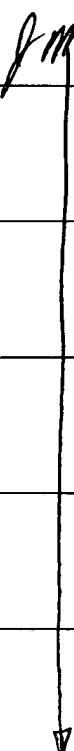
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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
<i>JWW</i>	1	3753438		1973-08-21	Wood et al.	
	2	4537185		1985-08-27	Stednitz	
	3	4834752		1989-05-30	Van Kampen	
	4	4927421		1990-05-22	Goble et al.	
	5	4950270		1990-08-21	Bowman et al.	
	6	5156616		1992-10-20	Meadows et al.	
	7	5536270		1996-07-16	Songer et al.	
	8	5571139		1996-11-05	Jenkins, Jr.	

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

( Not for submission under 37 CFR 1.99)

Application Number		10816725
Filing Date		2004-04-02
First Named Inventor	Lawrence M. Lubbers et al.	
Art Unit	3731 3773	
Examiner Name	J. W. WOO	
Attorney Docket Number	TTL-04US	


	9	5626613		1997-05-06	Schmieding	
	10	5693046		1997-12-02	Songer et al.	
	11	5702397		1997-12-30	Goble et al.	
	12	5741260		1998-04-21	Songer et al.	
	13	6368326	B1	2002-04-09	Dakin et al.	
	14	6395010	B1	2002-05-28	Wotton, III	

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	1	20010044637	A1	2001-11-22	Jacobs et al.	

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Application Number	10816725
Filing Date	2004-04-02
First Named Inventor	Lawrence M. Lubbers et al.
Art Unit	3781 3173
Examiner Name	J. W. Woo
Attorney Docket Number	TTL-04US

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Examiner Signature	<i>J. W. Woo</i>	Date Considered	12-10-07
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10816725
Filing Date	2004-04-02
First Named Inventor	Lawrence M. Lubbers et al.
Art Unit	<del>3734</del> 3773
Examiner Name	J. W. WOOD
Attorney Docket Number	TTL-04US

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kevin G. Rooney/	Date (YYYY-MM-DD)	2006-10-12
Name/Print	Kevin G. Rooney	Registration Number	36330

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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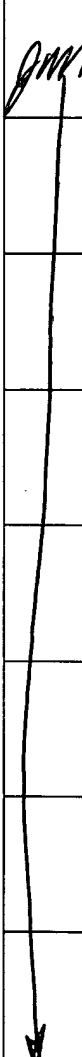
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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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<b>11/01/2006</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10816725
	Filing Date		2004-04-02
	First Named Inventor	Lawrence M. Lubbers et al.	
	Art Unit	<del>3734</del> 3773	
	Examiner Name	J. W. WOO	
	Attorney Docket Number	TTL-04US	

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	1	4535772		1985-08-20	Sheehan	
	2	5013316		1991-05-07	Goble et al.	
	3	5236431		1993-08-17	Gogolewski et al.	
	4	5254127		1993-10-19	Wholey et al.	
	5	5263973		1993-11-23	Cook	
	6	5683417		1997-11-04	Cooper	
	7	6190401	B1	2001-02-20	Green et al.	
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Application Number	10816725
Filing Date	2004-04-02
First Named Inventor	Lawrence M. Lubbers et al.
Art Unit	3781 3773
Examiner Name	J.W. Woo
Attorney Docket Number	TTL-04US

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Examiner Signature	<i>Julian M. Woo</i>	Date Considered	12-10-07
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Application Number	10816725
Filing Date	2004-04-02
First Named Inventor	Lawrence M. Lubbers et al.
Art Unit	3731 3773
Examiner Name	J. W. WOOD
Attorney Docket Number	TTL-04US

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Signature	/Kevin G. Rooney/	Date (YYYY-MM-DD)	2006-11-01
Name/Print	Kevin G. Rooney	Registration Number	36330

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<b>04/26/07</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10816725
	Filing Date		2004-04-02
	First Named Inventor	Lawrence M. Lubbers et al.	
	Art Unit	<del>3734</del> 3773	
	Examiner Name	J.W. WOOD	
	Attorney Docket Number	TTL-04US	

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STATEMENT BY APPLICANT****( Not for submission under 37 CFR 1.99)**

Application Number	10816725
Filing Date	2004-04-02
First Named Inventor	Lawrence M. Lubbers et al.
Art Unit	<del>3731</del> 3773
Examiner Name	J.W. Woo
Attorney Docket Number	TTL-04US

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STATEMENT BY APPLICANT**

( Not for submission under 37 CFR 1.99)

Application Number	10816725
Filing Date	2004-04-02
First Named Inventor	Lawrence M. Lubbers et al.
Art Unit	<del>3721</del> 3773
Examiner Name	J. W. WOO
Attorney Docket Number	TTL-04US

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Signature	/Kevin G. Rooney/	Date (YYYY-MM-DD)	2007-04-26
Name/Print	Kevin G. Rooney	Registration Number	36330

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